



National Association of Insurance Commissioners

2018 VISION Filing Fees by Detail Product Code as of January 1, 2018

DEPARTMENT	PS CODE	PS DESCRIPTION	Proposed 2018 Fee
Advance Rating Svc-Corporate	25002	Advance Rating Serv - Corp (Expedited Review)	\$ 5,000
Advance Rating Svc-Corporate	25001	Advance Rating Serv - Corp (Insurer)	\$ 15,750
Advance Rating Svc-Corporate	25000	Advance Rating Serv - Corp (Non Insurer)	\$ 25,000
Advance Rating Svc-Corporate	25005	Advance Rating Serv - ETF (Expedited Review)	\$ 5,000
Advance Rating Svc-Corporate	25004	Advance Rating Serv - ETF (Insurer)	\$ 15,750
Advance Rating Svc-Corporate	25003	Advance Rating Serv - ETF (Non Insurer)	\$ 25,000
Appeals	36104	SVO Appeals Additional Issue	\$ 250
Appeals	36101	SVO Appeals Annual	\$ 2,500
Appeals	36103	SVO Appeals Expired Info Request	\$ 250
Appeals	36100	SVO Appeals Initial	\$ 2,500
Appeals	36102	SVO Appeals Rejection	\$ 250
Qualified US Financial Institution	15006	QUSFI Annual	\$ 750
Qualified US Financial Institution	15005	QUSFI Initial	\$ 1,250
Canadian Municipals	23502	Canada A/U Issue Rated	\$ 250
Canadian Municipals	23561	Canada A/U Issuer CRP Rated	\$ 525
Canadian Municipals	23562	Canada A/U Issuer Rated Add Issue	\$ 125
Canadian Municipals	23523	Canada A/U VOS Not Rated	\$ 1,575
Canadian Municipals	23530	Canada Annual Expired Info Request	\$ 250
Canadian Municipals	23538	Canada Annual VOS 5*/6*	\$ 1,575
Canadian Municipals	23520	Canada Initial Expired Info Request	\$ 250
Canadian Municipals	23500	Canada Initial Issue Rated	\$ 250
Canadian Municipals	23563	Canada Initial Issuer CRP Rated	\$ 1,575
Canadian Municipals	23521	Canada Initial Issuer NotInVOS	\$ 5,000
Canadian Municipals	23564	Canada Initial Issuer Rated Add Issue	\$ 1,575
Canadian Municipals	23524	Canada Initial Not Rated	\$ 1,575
Canadian Municipals	23529	Canada Initial VOS 5*/6*	\$ 750
Canadian Municipals	23542	Canada Material Change	\$ 250
Canadian Municipals	23548	Canada Non-Billable Filing	\$ -
Canadian Municipals	23545	Canada Pricing Request	\$ 125
Canadian Municipals	23547	Canada Rejected Filing	\$ 250
Canadian Municipals	23546	Canada Renumbering Requests	\$ -
Corporate	20024	Ann Common Equity-Like Class	\$ 1,575
Corporate	20005	Corp A/U Common Stock	\$ 250
Corporate	20010	Corp A/U Foreign Stock	\$ 250
Corporate	20002	Corp A/U Issue Rated	\$ 250
Corporate	20043	Corp A/U Issuer CRP Rated	\$ 525
Corporate	20045	Corp A/U Issuer Rated Add Issue	\$ 125
Corporate	20059	Corp A/U Issuer Rated in VOS Issue Not Rated - SCA	\$ 1,575
Corporate	20023	Corp A/U VOS Not Rated	\$ 1,575
Corporate	20023F	Corp A/U VOS Not Rated Foreign	\$ 1,575
Corporate	20061	Corp Annual DIPS	\$ 1,575
Corporate	20040	Corp Annual Expired Info Request	\$ 250
Corporate	20037	Corp Annual VOS 5*/6*	\$ 1,575
Corporate	20004	Corp Initial Common Stock	\$ 250
Corporate	20060	Corp Initial DIPS	\$ 5,000
Corporate	20030	Corp Initial Expired Info Request	\$ 250
Corporate	20009	Corp Initial Foreign Stock	\$ 250
Corporate	20000	Corp Initial Issue Rated	\$ 250
Corporate	20046	Corp Initial Issuer CRP Rated	\$ 1,575



National Association of Insurance Commissioners

2018 VISION Filing Fees by Detail Product Code as of January 1, 2018

DEPARTMENT	PS CODE	PS DESCRIPTION	Proposed 2018 Fee
Corporate	20021	Corp Initial Issuer Not in VOS	\$ 5,000
Corporate	20021F	Corp Initial Issuer Not in VOS Foreign	\$ 5,000
Corporate	20047	Corp Initial Issuer Rated Add Issue	\$ 1,575
Corporate	20058	Corp Initial Issuer Rated in VOS Issue Not Rated - SCA	\$ 1,575
Corporate	20026	Corp Initial Not Rated	\$ 1,575
Corporate	20026F	Corp Initial Not Rated Foreign	\$ 1,575
Corporate	20029	Corp Initial VOS 5*/6*	\$ 750
Corporate	20062	Corp Material Change	\$ 250
Corporate	20042	Corp Non-Billable Filing	\$ -
Corporate	20063	Corp Pricing Request	\$ 125
Corporate	20041	Corp Rejected Filings	\$ 250
Corporate	20064	Corp Renumbering Request	\$ -
Corporate	20027	Init Common Equity-Like Class	\$ 5,000
Corporate	23622	SCH BA A/U Issuer CRP Rated	\$ 525
Corporate	23623	SCH BA A/U Issuer Rated Add Issue	\$ 125
Corporate	23621	SCH BA Annual Expired Info Request	\$ 250
Corporate	23603	SCH BA Annual Issue Rated	\$ 250
Corporate	23606	SCH BA Annual Surplus Note X	\$ 250
Corporate	23618	SCH BA Annual VOS 5*/6*	\$ 1,575
Corporate	23604	SCH BA Annual VOS Not Rated	\$ 1,575
Corporate	23620	SCH BA Initial Expired Info Request	\$ 250
Corporate	23600	SCH BA Initial Issue Rated	\$ 250
Corporate	23624	SCH BA Initial Issuer CRP Rated	\$ 1,575
Corporate	23625	SCH BA Initial Issuer Rated Add Issue	\$ 1,575
Corporate	23601	SCH BA Initial Not Rated	\$ 1,575
Corporate	23602	SCH BA Initial NotinVOS	\$ 5,000
Corporate	23605	SCH BA Initial Surplus Note X	\$ 250
Corporate	23619	SCH BA Initial VOS 5*/6*	\$ 750
Corporate	23615	SCH BA Material Change	\$ 250
Corporate	23627	SCH BA Non-Billable Filing	\$ -
Corporate	23616	SCH BA Pricing Request	\$ 125
Corporate	23626	SCH BA Rejected Filngs	\$ 250
Corporate	23617	SCH BA Renumbering Request	\$ -
Corporate	20008	Struc CTL A/U Not Rated	\$ 1,575
Corporate	20007	Struc CTL A/U Rated	\$ 250
Corporate	18001	Surplus Notes	\$ -
Corporate	18002	Surplus Notes	\$ -
Corporate	18003	Surplus Notes Expired Info Request	\$ 250
Counterpart Derivative	17002	Counterparty Derivative Annuals	\$ 500
Counterpart Derivative	17004	Counterparty Derivative Expired Info Request	\$ 250
Counterpart Derivative	17001	Counterparty Derivative Initials	\$ 2,500
Counterpart Derivative	17003	Counterparty Derivative Rejection	\$ 250
Exchange Traded Funds	35700	Exchange Traded Funds (Annuals Non-Insurers)	\$ 1,000
Exchange Traded Funds	35701	Exchange Traded Funds (Sponsors License)	\$ 1,000
Government	23512	Govt A/U Issue Rated	\$ 250
Government	23565	Govt A/U Issuer CRP Rated	\$ 525
Government	23566	Govt A/U Issuer Rated Add Issue	\$ 125
Government	23533	Govt A/U VOS Not Rated	\$ 1,575
Government	23560	Govt Annual Expired Info Request	\$ 250



National Association of Insurance Commissioners

2018 VISION Filing Fees by Detail Product Code as of January 1, 2018

DEPARTMENT	PS CODE	PS DESCRIPTION	Proposed 2018 Fee
Government	23558	Govt Annual VOS 5*/6*	\$ 1,575
Government	23550	Govt Initial Expired Info Request	\$ 250
Government	23510	Govt Initial Issue Rated	\$ 250
Government	23567	Govt Initial Issuer CRP Rated	\$ 1,575
Government	23531	Govt Initial Issuer Not in VOS	\$ 5,000
Government	23568	Govt Initial Issuer Rated Add Issue	\$ 1,575
Government	23534	Govt Initial Not Rated	\$ 1,575
Government	23549	Govt Initial VOS 5*/6*	\$ 750
Government	23555	Govt Material Change	\$ 250
Government	23570	Govt Non-Billable Filing	\$ -
Government	23556	Govt Pricing Request	\$ 125
Government	23569	Govt Rejected Filing	\$ 250
Government	23557	Govt Renumbering Request	\$ -
Money Market Fund	16002	Mutual Funds Annuals	\$ 500
Money Market Fund	16004	Mutual Funds Expired Info Request	\$ -
Money Market Fund	16001	Mutual Funds Initials	\$ 750
Money Market Fund	16003	Mutual Funds Rejection	\$ -
Municipal	21043	Muni A/R Issuer CRP Rated	\$ 525
Municipal	21060	Muni A/R Issuer Rated Add Issue	\$ 125
Municipal	21023	Muni A/R VOS Not Rated	\$ 1,575
Municipal	21002	Muni A/U Issue Rated	\$ 250
Municipal	21040	Muni Annual Expired Info Request	\$ 250
Municipal	21057	Muni Annual Lottery	\$ 750
Municipal	21059	Muni Annual Pre-Refunded	\$ 1,350
Municipal	21037	Muni Annual VOS 5*/6*	\$ 1,575
Municipal	21030	Muni Initial Expired Info Request	\$ 250
Municipal	21000	Muni Initial Issue Rated	\$ 250
Municipal	21044	Muni Initial Issuer CRP Rated	\$ 1,575
Municipal	21021	Muni Initial Issuer Not in VOS	\$ 5,000
Municipal	21045	Muni Initial Issuer Rated Add Issue	\$ 1,575
Municipal	21056	Muni Initial Lottery	\$ 1,350
Municipal	21024	Muni Initial Not Rated	\$ 1,575
Municipal	21058	Muni Initial Pre-Refunded	\$ 1,350
Municipal	21029	Muni Initial VOS 5*/6*	\$ 750
Municipal	21061	Muni Material Change	\$ 250
Municipal	21065	Muni Non-Billable Filing	\$ -
Municipal	21062	Muni Pricing Request	\$ 125
Municipal	21064	Muni Rejected Filing	\$ 250
Municipal	21063	Muni Renumbering Request	\$ -
Private Letter Rating	26003	Private Letter Expired Info Request	\$ 175
Private Letter Rating	26001	Private Letter Initial	\$ 175
Private Letter Rating	26004	Private Letter Rejected Filing	\$ 175
Private Letter Rating	26002	Private Letter Subsequent	\$ 175
Sovereign	20531	Foreign/Supra Annual Expired Info Request	\$ 250
Sovereign	20533	Foreign/Supra Annual Issuer Add Issue	\$ 125
Sovereign	20537	Foreign/Supra Annual VOS 5*/6*	\$ 1,575
Sovereign	20504	Foreign/Supra AU CRP Rated	\$ 525
Sovereign	20502	Foreign/Supra AU Issue Rated	\$ 250
Sovereign	20503	Foreign/Supra AU VOS Not Rated	\$ 1,575



National Association of Insurance Commissioners

2018 VISION Filing Fees by Detail Product Code as of January 1, 2018

DEPARTMENT	PS CODE	PS DESCRIPTION	Proposed 2018 Fee
Sovereign	20501	Foreign/Supra Init IssrNtinVOS	\$ 5,000
Sovereign	20530	Foreign/Supra Initial Expired Info Request	\$ 250
Sovereign	20500	Foreign/Supra Initial Issue Rated	\$ 250
Sovereign	20532	Foreign/Supra Initial Issuer Add Issue	\$ 1,575
Sovereign	20526	Foreign/Supra Initial Issuer CRP Rated	\$ 1,575
Sovereign	20506	Foreign/Supra Initial Not Rated	\$ 1,575
Sovereign	20529	Foreign/Supra Initial VOS 5*/6*	\$ 750
Sovereign	20540	Foreign/Supra Material Change	\$ 250
Sovereign	20544	Foreign/Supra Non-Billable Filing	\$ -
Sovereign	20541	Foreign/Supra Pricing Request	\$ 125
Sovereign	20543	Foreign/Supra Rejected Filing	\$ 250
Sovereign	20542	Foreign/Supra Renumbering Request	\$ -
Structured Security	22029	Military Housing Bonds Annual	\$ 1,575
Structured Security	22003	Military Housing Bonds Initial	\$ 5,000
Structured Security	22164	SSG Annual Mortgage Reference	\$ -
Structured Security	22163	SSG Initial Mortgage Reference	\$ -
Structured Security	22168	SSG Rejected Filing	\$ 250
Structured Security	22044	Str Annual Expired Info Request	\$ 250
Structured Security	22162	Str Annual Structured Settlement	\$ 1,575
Structured Security	22046	Str Annual VOS 5*/6*	\$ 1,575
Structured Security	22043	Str Initial Expired Info Request	\$ 250
Structured Security	22161	Str Initial Structured Settlement	\$ 1,575
Structured Security	22042	Str Initial VOS 5*/6*	\$ 750
Structured Security	22047	Str Material Change	\$ 250
Structured Security	22051	Str Non-Billable Filing	\$ -
Structured Security	22048	Str Pricing Request	\$ 125
Structured Security	22049	Str Renumbering Request	\$ -
Structured Security	22101	Struc CTL Bond Initial	\$ 1,575
Structured Security	22000	Struc Initial Rated	\$ 250
Structured Security	22009	Struc REIT Initial Rated	\$ 250
Structured Security	22010	Struct A/U Issue Rated	\$ 250
Structured Security	22111	Struct A/U Not Rated	\$ 1,575
Structured Security	22018	Struct CMBS A/U	\$ 250
Structured Security	22008	Struct CMBS Initial	\$ 250
Structured Security	22020	Struct CTL Additional Notes	\$ 250
Structured Security	22102	Struct CTL Lease Initial	\$ 2,500
Structured Security	22124	Struct Eqty-Lnkd A/U NotRtd	\$ 1,575
Structured Security	22023	Struct Eqty-Lnkd A/U Rated	\$ 250
Structured Security	22122	Struct Eqty-Lnkd Initial NtRat	\$ 1,575
Structured Security	22021	Struct Eqty-Lnkd Initial Rated	\$ 250
Structured Security	22123	Struct Eqty-Lnkd NtVOS	\$ 1,575
Structured Security	22040	Struct Initial Filing NtRat NtVOS	\$ 1,575
Structured Security	22128	Struct REIT A/U Not Rated	\$ 1,575
Structured Security	22019	Struct REIT A/U Rated	\$ 250
Structured Security	22127	Struct REIT Initial Not In VOS	\$ 5,000
Structured Security	22030	Struct REIT Initial Not Rated	\$ 1,575
Structured Security	22013	Struct Replication A/U	\$ 250
Structured Security	22160	Struct Replication Collateral	\$ 250
Structured Security	22112	Struct Replication Initial	\$ 1,575



National Association of Insurance Commissioners

2018 VISION Filing Fees by Detail Product Code as of January 1, 2018

DEPARTMENT	PS CODE	PS DESCRIPTION	Proposed 2018 Fee
Structured Security	22025	Struct RMBS A/U Gtd	\$ 250
Structured Security	22014	Struct RMBS A/U Non-Gtd	\$ 525
Structured Security	22026	Struct RMBS Initial Gtd	\$ 250
Structured Security	22104	Struct RMBS Initial Non-Gtd	\$ 1,575
Structured Security	22166	Structured Annual ETFs	\$ 1,575
Structured Security	22165	Structured Initial ETFs	\$ 2,500
Structured Security	22169	Structured Material Change	\$ 250
Structured Security	22170	Structured Pricing Request	\$ 125
Structured Security	22167	Structured Rejected Filing	\$ 250
Structured Security	22171	Structured Renumbering Request	\$ -
Working Capital Fin Inv	25006	Working Capital Fin Inv	\$ 10,000
Working Capital Fin Inv	25008	Working Capital Fin Inv - Annual	\$ 1,575
Working Capital Fin Inv	25007	Working Capital Fin Inv - Prev	\$ 5,000